

3737

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
9345.17121-CIP D

In Re Application Of: Thompson et al.

*L. Parks*  
*3-22-02*  
*He/IDS*

Serial No.  
09/938,308

Filing Date  
23 August 2001

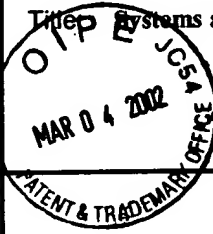
Examiner  
Unknown

Group Art Unit  
3737

Title: Systems and Methods for Applying Ultrasonic Energy

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**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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Title: Systems and Methods for Applying Ultrasonic Energy to the Thoracic Cavity

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(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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Dated: 20 February 2002

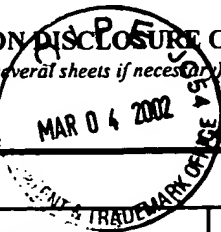
Daniel D. Ryan, Reg. No. 29,243  
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## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

MAR 04 2002



Docket Number (Optional)

9345.17121-CIP D

Application Number

09/938,308

Applicant(s)

Thompson et al.

Filing Date

23 August 2001

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## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,762,616	09 Jun 98	Talish			
		6,200,259	13 Mar 01	March			
		6,273,864	14 Aug 01	Duarte et al.			

## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.